

# *Exploratory Testing*

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*Keynote at*

*QAI*

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## Session Blurb

*I coined the phrase "exploratory testing" 23 years ago to describe the practice of some of the best testers in Silicon Valley. The fundamental distinction between scripted and exploratory testing lies in the cognition of the tester. The script-driven tester executes and interprets the results of tests that were previously designed and documented. In contrast, the explorer treats reuse of tests and test data as a tactical choice. The explorer is always learning and always accountable for using new knowledge to optimize the value of her work, changing focus and techniques whenever this seems most useful. This approach has been a magnet for criticism, some of it justified. It has also evolved significantly. It's time to take stock of the opportunities and risks of the approach and the ways it can be included more effectively in the work of a more traditional IT test group.*

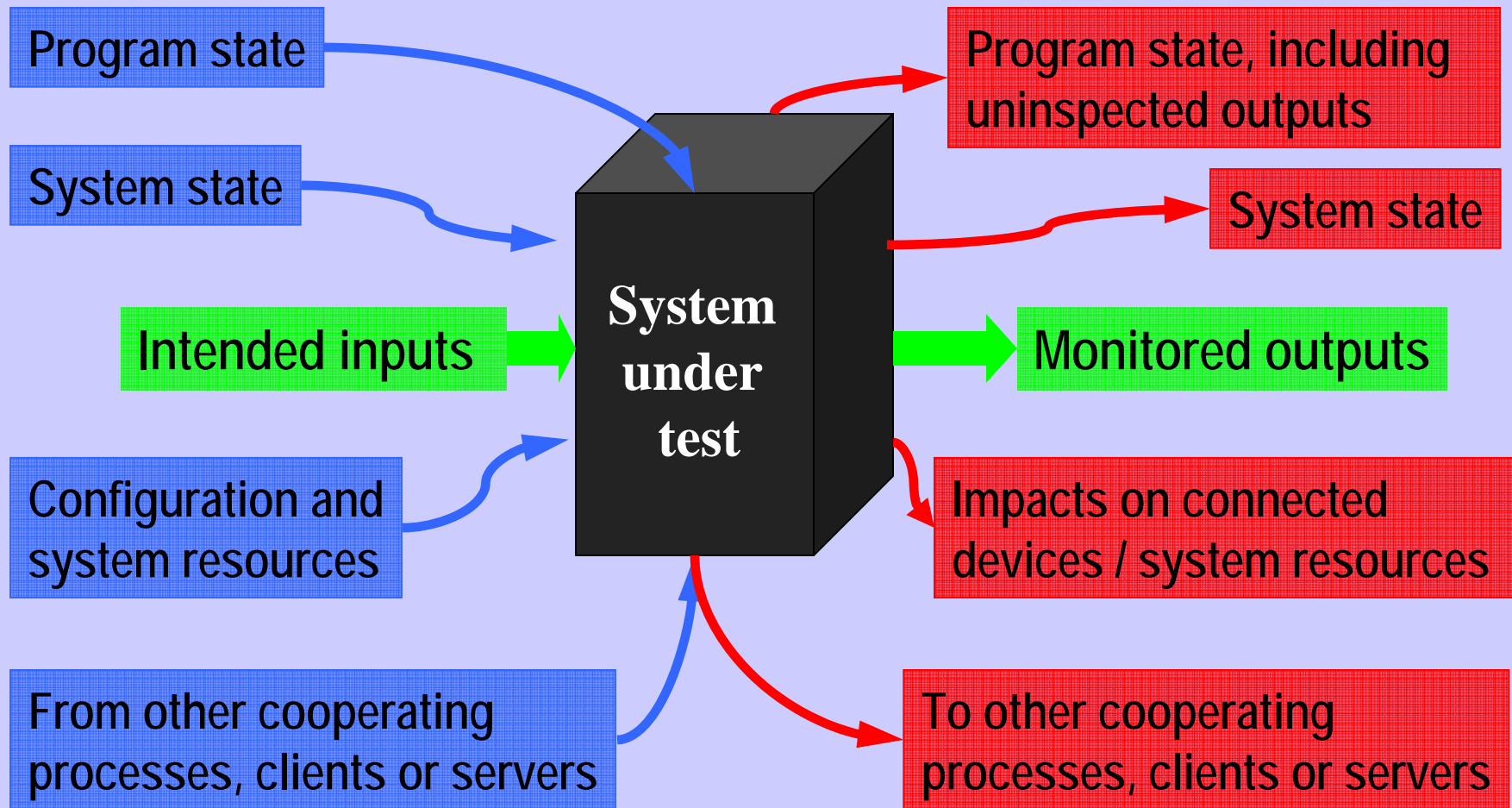
*Let's start  
with a demo*

# What does this tell us about scripted testing?

- *People are finite capacity information processors*
  - *We pay attention to some things*
    - *and therefore we do NOT pay attention to others*
    - *Even events that “should be” obvious will be missed if we are attending to other things.*
- *Computers focus only on what they are programmed to look at (inattentionally blind by design)*
- *A script specifies*
  - *the test operations*
  - *the expected results*
  - *the comparisons the human or machine should make*
  - *and thus, the bugs the tester should miss.*

# A program can fail in many ways

*Based on notes from Doug Hoffman*



# Time Sequence in Scripted Testing

- *Design the test early*
- *Execute it many times later*
- *Look for the same things each time*
  - *The earlier you design the tests, the less you understand the program and its risk profile*
  - *And thus, the less well you understand what to look at*
  - *The scripted approach means that the test stays the same, even if the risk profile changes.*

# The Cognitive Sequence in Scripted Testing

- *The smart test designer*
  - *who rarely runs the tests*
- *designs the tests for the cheap tester*
  - *who does what the designer says and looks for what the designer says to look for*
  - *time and time again*
  - *independently of the risk profile.*
- *Who is in a better position to spot changes in risk or to notice new variables to look at?*

# Manufacturing QC

- *Fixed design*
- *Well understood risks*
- *The same set of errors appear on a statistically understood basis*
- *Test for the same things on each instance of the product*
- *Scripting makes a lot of sense*



# Design QC

- *The design is rich and not yet trusted*
- *A fault affects every copy of the product*
- *The challenge is to find new design errors, not to look over and over and over again for the same design error*
- *Scripting is probably an industry worst practice for design QC*
- *Software testing is assessment of a design, not of the quality of manufacture of the copy*

## What we need for design...

- *Is a constantly evolving set of tests*
- *That exercise the software in new ways (new combinations of features and data)*
- *So that we get broader coverage*
- *Of the infinite space of possibilities*

*For that*

*we do*

*exploratory testing*

# *Software testing*

- *is an empirical*
- *technical*
- *investigation*
- *conducted to provide stakeholders*
- *with information*
- *about the quality*
- *of the product or service under test*

# Quality

- *is value*
- *to some person*
  - Gerald Weinberg

- *Note the inherent subjectivity*
- *Note that different stakeholders will perceive the same product as having different levels of quality*
- *Testers look for different things*
  - for different stakeholders. . . .*

# *Exploratory software testing*

- is a style of software testing*
- that emphasizes the personal freedom and responsibility*
- of the individual tester*
- to continually optimize the value of her work*
- by treating*
  - test-related learning,*
  - test design,*
  - test execution, and*
  - test result interpretation*
- as mutually supportive activities*
- that run in parallel throughout the project.*

*What's a test technique?*  
*Ten dominating techniques*

- Function testing
- Specification-based testing
- Domain testing
- Risk-based testing
- Scenario testing
- Regression testing
- Stress testing
- User testing
- State-model based testing
- High volume automated testing

These are  
10 common  
Examples.

There are *many*  
Others.

## *Test attributes*

To different degrees, good tests have these attributes:

- **Power.** When a problem exists, the test will reveal it.
- **Valid.** When the test reveals a problem, it is a genuine problem.
- **Value.** It reveals things your clients want to know about the product or project.
- **Credible.** Your client will believe that people will do the things that are done in this test.
- **Representative** of events most likely to be encountered by the user. (xref. Musa's *Software Reliability Engineering*).
- **Non-redundant.** This test represents a larger group that address the same risk.
- **Motivating.** Your client will want to fix the problem exposed by this test.
- **Performable.** It can be performed as designed.
- **Maintainable.** Easy to revise in the face of product changes.
- **Repeatable.** It is easy and inexpensive to reuse the test.
- **Pop.** (*short for Karl Popper*) It reveal things about our basic or critical assumptions.
- **Coverage.** It exercises the product in a way that isn't already taken care of by other tests.
- **Easy to evaluate.**
- **Supports troubleshooting.** Provides useful information for the debugging programmer.
- **Appropriately complex.** As the program gets more stable, you can hit it with more complex tests and more closely simulate use by experienced users.
- **Accountable.** You can explain, justify, and prove you ran it.
- **Cost.** This includes time and effort, as well as direct costs.
- **Opportunity Cost.** Developing and performing this test prevents you from doing other work


# Contexts Vary Across Projects

*Testers must learn, for each new product:*

- What are the goals and quality criteria for the project*
- What skills and resources are available to the project*
- What is in the product*
- How it could fail*
- What the consequences of potential failures could be*
- Who might care about which consequence of what failure*
- How to trigger a fault that generates the failure we're seeking*
- How to recognize failure*
- How to decide what result variables to pay attention to*
- How to decide what other result variables to pay attention to in the event of intermittent failure*
- How to troubleshoot and simplify a failure, so as to better
  - (a) motivate a stakeholder who might advocate for a fix*
  - (b) enable a fixer to identify and stomp the bug more quickly**
- How to expose, and who to expose to, undelivered benefits, unsatisfied implications, traps, and missed opportunities.*



# It's kind of like CSI



The screenshot shows the CBS.com website for CSI: Crime Scene Investigation. The main navigation bar includes 'EPISODES', 'HANDBOOK', 'VIDEO', 'PRODUCTION', and 'INTERACTIVE'. The 'HANDBOOK' section is active, with sub-sections for 'EVIDENCE', 'TOOLS', and 'PROCEDURES'. The 'AFIS' (Automated Fingerprint Identification System) page is displayed, featuring a list of tools on the left and a description of AFIS on the right. Below the main content, there are three promotional boxes: 'CSI HANDBOOK', 'PREVIOUSLY ON CSI: Room Service', and 'CSI: VIDEO Behind the Scenes'.

**CBS.com** Choose a CBS Show  [Adblock](#)

**CSI: CRIME SCENE INVESTIGATION**  
THURSDAYS 9PM ET/PT

EPISODES HANDBOOK VIDEO PRODUCTION INTERACTIVE

HANDBOOK EVIDENCE TOOLS PROCEDURES

AFIS

- Agar
- Alarm pen
- Alginate
- ALS (Alternate light source)
- Amido Black
- Ammeter
- Analytical balance
- Analytical scale
- Anechoic chamber
- Angiogram
- Autoclave
- Ballistic gelatin
- Biohazard bag
- Bloody print enhancer
- Blower brush
- Boxed reference ammunition collection
- Bromoform

**AFIS**

Automated Fingerprint Identification System: Computer network that scans crime-scene fingerprints and compares them with millions of prints collected by law enforcement agencies around the state, region, country, and world. A print is traced by a fingerprint expert. The tracing is then scanned by the computer, which assigns values to various features of the print. Those values are compared to other

**CSI HANDBOOK**  
Learn more about tools, evidence and procedures used by CSIs.

**EPISODES**  
Missed an episode? Catch up on previous stories now.

**PREVIOUSLY ON CSI:**

**Room Service**  
Julian Harper (23), touted as the next Brad Pitt, is taking his bright lights moment to the max. Sex, drugs and a "High Roller Suite" are put at his disposal as he enters the ...more

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**Behind the Scenes**  
"CSI" celebrates 100 episodes

**MANY** tools, procedures, sources of evidence.

- Tools and procedures don't define an investigation or its goals.
- There is too much evidence to test, tools are often expensive, so investigators must exercise judgment.
- The investigator must pick what to study, and how, in order to reveal the most needed information.

## Imagine ...

- *Imagine crime scene investigators*
  - *(real investigators of real crime scenes)*
  - *following a script.*
- *How effective do you think they would be?*

# Exploratory Testing After 23 Years

<i>Areas of agreement</i>	<i>Areas of controversy</i>
<i>Areas of progress</i>	<i>Areas of ongoing concern</i>

## Areas of Agreement\*

- *Definitions*
- *Everyone does ET to some degree*
- *ET is an approach, not a technique*
- *ET is the response (the antithesis) to scripting*
  - *But a piece of work can be a blend, to some degree exploratory and to some degree scripted*

\* *Agreement among the people who agree with me (many of whom are sources of my ideas). This is a subset of the population of ET-thinkers who I respect, and a smaller subset of the pool of testers who feel qualified to write about ET. (YMMV)*

# Areas of Controversy

- *ET is not quicktesting*
  - *A quicktest (or an “attack”) is a test technique that starts from a theory of error (how the program could be broken) and generates tests that are optimized for errors of that type.*
    - *Example: Boundary analysis (domain testing) is optimized for misclassification errors (IF  $A < 5$  miscoded as IF  $A \leq 5$ )*
  - *Quicktests (most) don't require much knowledge of the application under test. They are “ready” right away.*
  - *Quicktesting is more like scripted testing or more like ET depending on the mindset of the tester.*

## *QuickTests?*

- A **quicktest** is a cheap test that has some value but requires little preparation, knowledge, or time to perform.
  - Participants at the 7th Los Altos Workshop on Software Testing (Exploratory Testing, 1999) pulled together a collection of these.
  - James Whittaker published another collection in *How to Break Software*.
  - Elisabeth Hendrickson teaches courses on bug hunting techniques and tools, many of which are quicktests or tools that support them.

# Areas of Controversy

- *ET is not quicktesting*
- **ET is not only functional testing:**
  - *When programmers define testing, they often define it as functional testing*
    - *Agile™ system testing is fashionably focused around stories written by customers, not a good vehicle for parafunctional attributes*
    - *Parafunctional work is dismissed as peripheral (e.g. Marick's assertion that it should be done by specialists who are not part of the long term team) (e.g. Beizer's "Usability is not testing")*
  - *If quality is value to the stakeholder*
    - *and if value is driven by usability, security, performance, aesthetics, trainability (etc.)*
    - *then testers should investigate these aspects of the product.*

# Areas of Controversy

- *ET is not quicktesting*
- *ET is not only functional testing*
- *ET can involve tools of any kind and can be as computer-assisted as anything else we would call “automated”*
  - *Along with*
    - *traditional “test automation” tools,*
  - *we see emerging tool support for ET such as*
    - *Test Explorer*
    - *BBTest Assistant*
  - *and better thought support tools*
    - *Like mind manager and inspiration*
    - *And qualitative analysis tools like Atlas.ti*



# Phone System: The Telenova Stack Failure

Telenova Station Set 1. Integrated voice and data.  
108 voice features, 110 data features. 1984.



# The Telenova Stack Failure

```
July 4, 1985      12:01 PM      Ext: 257  
Directory Admin  Messages  Voice Data
```

```
1-(212)662-7777 Connected      Ext: 567  
Transfer Record  Conference Park Acct
```

```
Please enter selection  
LvMsa      GetMsa      Greeting Code
```

```
Ted K. waiting      Wt:1 Hd:0  
I'llCall CallLater PlsWait      Answ
```

```
Select a call & lift handset      Wt:5 Hd:5  
Ted K.      Peter T. Trunk 6      Trk 2Trk 7
```

```
Xenix 3 Connected for Data  
Transfer Baud      EndCall      Park Acct
```

Context-sensitive  
display

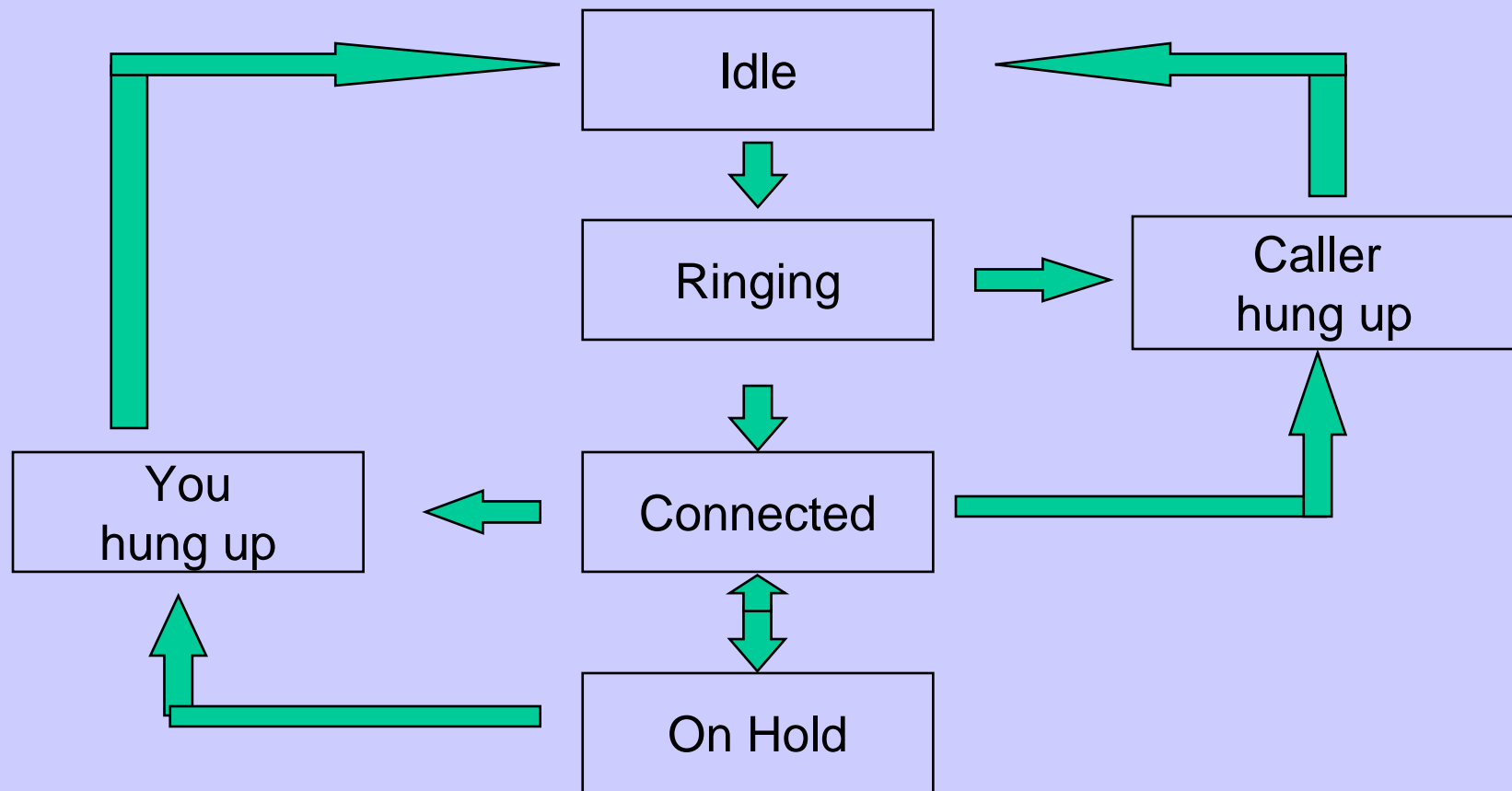
10-deep hold queue

10-deep wait queue



# The Telenova Stack Failure

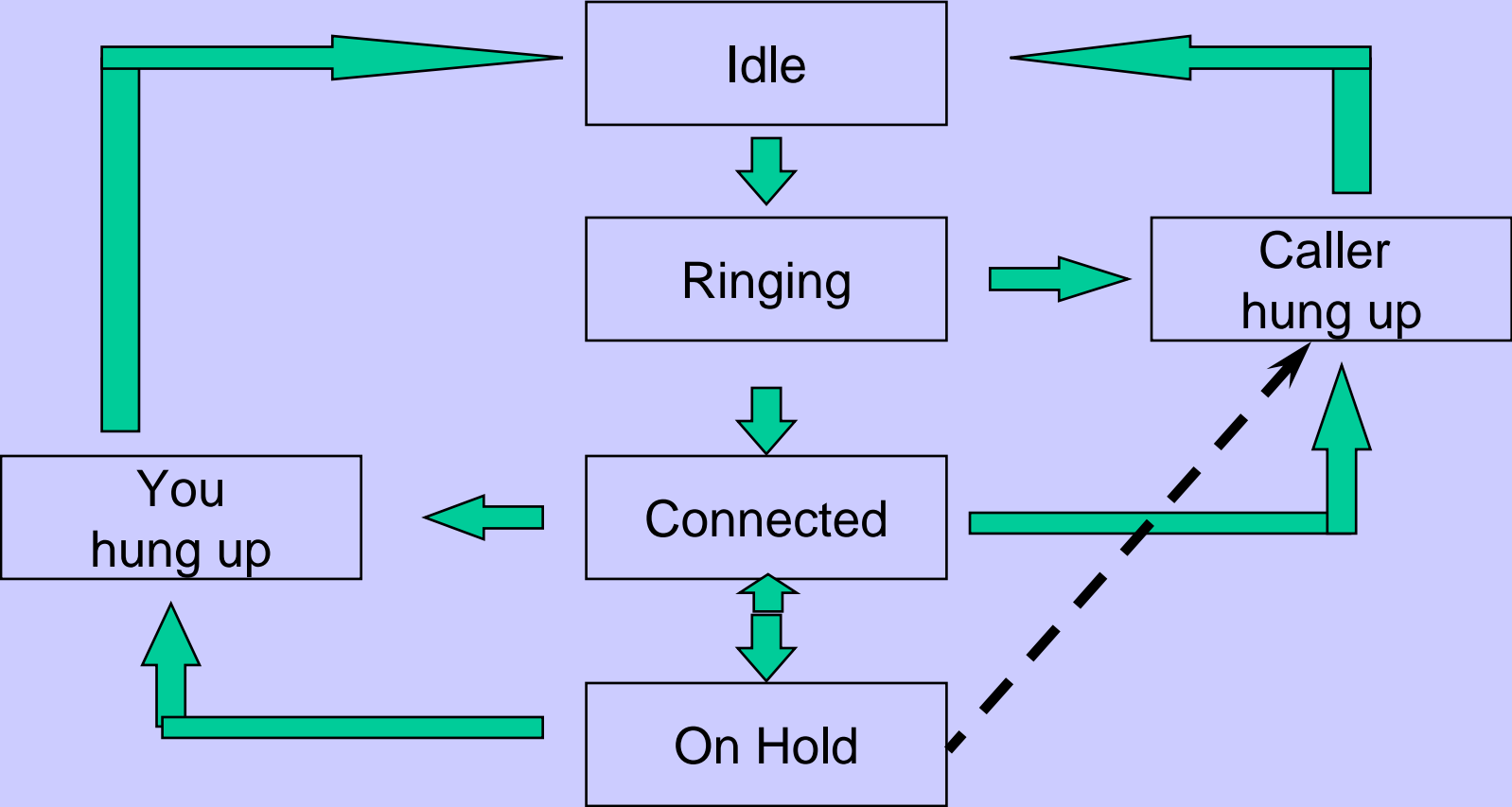
A simplified state diagram showing the bug



# The bug that triggered the simulation:

- *Beta customer (a stock broker) reported random failures*
- *Could be frequent at peak times*
- *An individual phone would crash and reboot, with other phones crashing while the first was rebooting*
- *On a particularly busy day, service was disrupted all (East Coast) afternoon*
- *We were mystified:*
- *All individual functions worked*
- *We had tested all lines and branches.*
- *Ultimately, we found the bug in the hold queue*
- *Up to 10 calls on hold, each adds record to the stack*
- *Initially, the system checked stack whenever call was added or removed, but this took too much system time. So we dropped the checks and added these*
  - *Stack has room for 20 calls (just in case)*
  - *Stack reset (forced to zero) when we knew it should be empty*
- *The error handling made it almost impossible for us to detect the problem in the lab. Because we couldn't put more than 10 calls on the stack (unless we knew the magic error), we couldn't get to 21 calls to cause the stack overflow.*

# The magic error



# Telenova Stack Failure

**Having found and fixed  
the hold-stack bug,  
should we assume  
that we've taken care of the problem  
or that if there is one long-sequence bug,  
there will be more?**

**Hmmm**



**If you kill a cockroach in your kitchen,  
do you assume  
you've killed the last bug?  
Or do you call the exterminator?**

# Simulator with Probes

- *Telenova (\*) created a simulator*
  - *generated long chains of random events, emulating input to the system's 100 phones*
  - *could be biased, to generate more holds, more forwards, more conferences, etc.*
- *Programmers added probes (non-crashing asserts that sent alerts to a printed log) selectively*
  - *can't probe everything b/c of timing impact*
- *After each run, programmers and testers tried to replicate failures, fix anything that triggered a message. After several runs, the logs ran almost clean.*
- *At that point, shift focus to next group of features.*
- *Exposed lots of bugs*
- *This is a classic example of exploratory testing.*
- *(\*) By the time this was implemented, I had joined Electronic Arts.*

# Areas of Controversy

- *ET is not quicktesting*
- *ET is not only functional testing*
- *ET can involve tools of any kind and can be as computer-assisted as anything else we would call “automated”*
- *ET is not focused primarily around test execution*
  - *I helped create this confusion by initially talking about ET as a test technique.*



# Controversy: ET as a Technique

- *In the 1980's and early 1990's, I distinguished between*
  - *The evolutionary approach to software testing*
  - *The exploratory testing technique(s), such as:*
    - *Guerilla raids*
    - *Taxonomy-based testing and auditing*
    - *Familiarization testing (e.g. user manual conformance tests)*
    - *Scenario tests*

# Controversy: ET as a Technique

- *1999 Los Altos Workshop on Software Testing #7 on Exploratory Testing*
  - *James Tierney presents observations on MS “supertesters” indicating their strength is heavily correlated with social interactions in the development group (they learn from the team and translate the learning into tests)*
  - *Bob Johnson and I present a long list of “styles of exploration” (a categorization of what James Bach & I now call “quicktests,” and James Whittaker calls “attacks”)*
  - *James Bach shows off his heuristic test strategy model, various other models and heuristics relied on by testers*
  - *Elisabeth Hendrickson, Harry Robinson, and Melora Svoboda also give presentations that discuss the use of models to drive test design in the moment*

# Controversy: How can ET be a Technique?

- *We were cataloging dozens of quicktests (essentially techniques) used by explorers. Is ET a family of techniques?*
- *At end of LAWST 7, Gelperin concludes that he doesn't understand what is unique about "exploratory" testing. Our presentations all described approaches to design and execution of tests that he considered normal testing. What was the difference?*
- *He had a point:*
  - *Can you do domain testing in an exploratory way?*
    - *Of course*
  - *Specification-based testing?*
    - *Sure*
  - *Stress testing? Scenario testing? Model-based testing?*
    - *Yes, yes, yes*
  - *Is there any test technique that you cannot do in an exploratory way?*

# Controversy: ET is a Way of Testing

- *WHET #1 and #2 – James Bach convinced me that the activities we undertake to learn about the product (in order to test it) are exploratory too.*
  - *Of course they are*
  - *But this becomes the death knell for the idea of ET as a technique*
  - *ET is a way of testing*
    - *We learn about the product in its market and technological space (and keep learning until the end of the project)*
    - *We take advantage of what we learn to design better tests and interpret results more sagely*
    - *We run the tests, shifting our focus as we learn more, and learn from the results.*

# Areas of Controversy

- *ET is not quicktesting*
- *ET is not only functional testing*
- *ET can involve tools of any kind and can be as computer-assisted as anything else we would call “automated”*
- *ET is not focused primarily around test execution*
- ***ET can involve very complex tests that require significant preparation***
  - *Scenario testing is the classic example*
  - *To the extent that scenarios help us understand the design (and its value), we learn most of what we’ll learn in the development and first execution. Why keep them?*

## Areas of Progress

- *We know a lot more about quicktests*
  - *Well documented examples from Whittaker's How to Break... series and Hendrickson's and Bach's courses*

## Areas of Progress

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- *We have a better understanding of the oracle problem and oracle heuristics*

# Areas of Progress

- *We know a lot more about quicktests*
- *We have a better understanding of the oracle problem and oracle heuristics*
- *We have growing understanding of ET in terms of theories of learning and cognition*
  - *Including benefits of paired testing*



# Areas of Progress

- *We know a lot more about quicktests*
- *We have a better understanding of the oracle problem and oracle heuristics*
- *We have growing understanding of ET in terms of theories of learning and cognition*
- *We have several guiding models*
  - *Failure mode & effects analysis applied to bug catalogs*
  - *Bach / Bach / Kelly's activities model*
  - *Satisfice heuristic test strategy model*
  - *State models*
  - *Other ET-supporting models (see Hendrickson, Bach)*

# Areas of Ongoing Concern

- *We are still early in our wrestling with modeling and implicit models*
  - *A model is*
    - *A simplified representation created to make something easier to understand, manipulate or predict some aspects of the modeled object or system.*
    - *Expression of something we don't understand in terms of something we (think we) understand.*

## Areas of Ongoing Concern

- *We are still early in our wrestling with modeling and implicit models*
  - *Testing is a more skilled and cognitively challenging area of work than popular myths expect*
  - *Testing is more fundamentally multidisciplinary than popular myths expect*
- For both of these, see my presentations on Software Testing as a Social Science, e.g.*  
<http://www.kaner.com/pdfs/KanerSocialScienceDal.pdf>

# Areas of Ongoing Concern

- *We are still early in our wrestling with modeling and implicit models*
- *Testing is a more skilled and cognitively challenging area of work than popular myths expect*
- *Testing is more fundamentally multidisciplinary than popular myths expect*
- *We are just learning how to track and report status*
  - *Session based testing*
  - *Workflow breakdowns*
  - *Dashboards*
    - *Construct validity is still an unknown concept in Computer Science*

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- *We are still early in our wrestling with modeling and implicit models*
- *Testing is a more skilled and cognitively challenging area of work than popular myths expect*
- *Testing is more fundamentally multidisciplinary than popular myths expect*
- *We are just learning how to track and report status*
- *We are just learning how to assess individual tester performance*
- *We don't yet have a good standard tool suite*
  - *Tools guide thinking*
  - *Hendrickson, Bach, others have made lots of suggestions*
  - *Tinkham is working on this for his dissertation*

# Closing Notes

- *If you want to attack any approach to testing as unskilled, attack scripted testing*
- *If you want to hammer any testing approach on coverage, look at the fools who think they have tested a spec or requirements document when they have one test case per spec item, or code with one test per statement / branch / basis path.*
- *Testing is a skilled, fundamentally multidisciplinary area of work.*
- *Exploratory testing brings to the fore the need to adapt to the changing project with the information available.*
- *ET is fundamentally agile, but maybe not very Agile™.*