Thoughts From The

3rd LOS ALTOS WORKSHOP ON SOFTWARE TESTING

(Los Altos, CA, February 1998)

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Test Documentation:
Preliminary Note

On February 7 and 8, the Third Los Altos Workshop on Software Testing discussed test documentation (test planning strategies and materials). The agenda item was:

» How do we know what test cases we have? How do we know which areas of the program are well covered and which are not?

» How do we develop this documentation EFFICIENTLY? As many of you know, I despise thick test plans and I begrudge every millisecond that I spend on test case documentation. Unfortunately, some work is necessary. My question is, how little can we get away with, while still preserving the value of our asset?


(Well, I should say that I think those people attended. I’m embarrassed to say that I got on the plane and realized that I didn’t have a final attendance list with me. I’m pretty sure that this was the full crowd.)

We came up with a lot of ideas. The material listed here is only a subset. I'm still thinking about the range and implications of our discussion. This is my first public talk on LAWS'T since the meeting, and my first real attempt to sort out some of the good ideas in a way that will be useful to people outside of the LAWS'T group. I hope you'll tolerate some disorganization--this is thinking in progress. And, your comments, criticisms, and virtual tomatoes are most welcome.

NOTICE:
This talk does not necessarily reflect the views of each of the LAWS'T attendees. Nor is it a comprehensive layout of the material we discussed at LAWS'T 3.
Test Documentation:
Fine Print

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Test Documentation: Overview

1. Terminology
2. Some Common Mistakes
3. Requirements for Test Documentation
4. A Few Good Techniques
5. Notes on Development of a Documentation Strategy
6. Notes on Group Processes for Developing and Reviewing Test Documentation
Test Documentation: Terminology

David Gelperin urged us to rethink our use of the word “test plan” because it is overused, and too often used to mean different things. Here are the words I’ll probably use going forward:

» Test Case
» Test Suite
» Test Objective
» Test Strategy
» Test Design
» Testing Project Plan (aka test plan)
» Test Documentation (aka test plan)
Test Documentation: Some Common Mistakes

Let’s not spend much time on these. I’d rather focus on what works. But here are blunders that you’ve probably encountered:

» Death by Detail
  • Myth of perfectly reproducible test cases
  • Brainless paperwork: reduced productivity and creativity
  • Overemphasis on simple tests rather than harsh ones

» No Detail
  • How do you tell that the program has failed a test case?
  • Comfort without justification

» Ancestor Worship
  • There is value in inspecting ancestral test cases, in measuring their code coverage, and in using them as a mine for insights.
  • Beware of false positives and false negatives.
  • Beware of undocumented test cases.
  • Reverse engineering, rewriting, and the 10% rule.

» No Source Control

» Mis-set Management Expectations
  • Managers may think that the documented tests are 100% of the tests.
  • Managers may think that all test cases will/should be documented in detail.
  • Managers may think that every test case that appears on a test document should be run.
Test Plan Requirements: Contrasting Objectives

- *Is the test documentation set a product or a tool?*

- *Is it a process model, a product model, or a defect finder?*

- *Is your software quality driven by legal considerations or by market forces?*

- *How much traceability do you need? What docs are you tracing back to and who controls them?*
Test Plan Requirements: Contrasting Objectives -2

- Is your testing approach primarily oriented toward conformance to specs or other written criteria or toward proving nonconformance with customer expectations?

- Does your preferred testing style rely on already-defined tests (regression) or exploration?

- Should test docs focus on what to test (objectives) or on how to test for it (procedures)?
Test Plan Requirements: Contrasting Objectives -3

- Should detailed control of the project by the test plan should come early, late, or never?

- To what extent should test docs support tracking and reporting of project status and testing progress?

- How well should docs support delegation of work to new testers?

- What are your assumptions about the skills and knowledge of new testers?

- Who are the primary readers of these test documents and how important are they?
**Test Plan Requirements: Contrasting Objectives -4**

- A test suite should provide prevention, detection, and prediction. Which is the most important for this project?

- How maintainable are the test docs (and their test cases)? How well do they ensure that test changes will follow code changes?

- Will the test docs help us identify (and revise/restructure in the face of) a permanent shift in the risk profile of the program?

- Are (should) docs (be) automatically created as a byproduct of the test automation code?
Test Documentation: A Few Good Techniques

- Tripos-based description of test objectives (Bach)
- Boundary and equivalence analysis (Myers)
- Reusable test matrix (Nguyen, Kaner)
- Automated reusable test matrix (Hendrickson)
- Objectives list (Gelperin)
- Multi-variable test combination chart (Gelperin)
- Data relationship chart (Kaner)
Test Documentation: Tripos

TRIPOS Model [Bach, ST Labs]

(Content reviewed; elements not linked; need to write down and ask questions; one page insisted upon unless compelling argument for violation)
Test Docs: Boundary & Equivalence Analysis

Two tests belong to the same *equivalence class* if you expect the same result (pass / fail) of each. Testing multiple members of the same equivalence class is, by definition, redundant testing.

*Boundaries* mark the point or zone of transition from one equivalence class to another. The program is more likely to fail at a boundary, so these are the best members of (simple, numeric) equivalence classes to use. *Note how the boundary case has two ways to fail. It can fail because the program’s treatment of the equivalence class is broken OR because the programmer’s treatment of inequalities is broken.*

More generally, you look to subdivide a space of possible tests into relatively few classes and to run a few cases of each. You’d like to pick the most powerful tests from each class.
Boundary Analysis Table

<table>
<thead>
<tr>
<th>Variable</th>
<th>Equivalence Classes</th>
<th>Boundaries and Special Cases</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>First number</td>
<td></td>
<td>99, 100, -100, -99, /, 0</td>
<td>max bounds, min bounds, ASCII bounds, next section, always interesting</td>
</tr>
<tr>
<td>Second number</td>
<td>same as first</td>
<td>same</td>
<td>same</td>
</tr>
<tr>
<td>Sum</td>
<td>-198 to 198</td>
<td></td>
<td>Are there other sources of data for this variable? Ways to feed it bad data?</td>
</tr>
</tbody>
</table>

The simplest way to build a boundary analysis over time is to put the information that you gather into a table.

The table should eventually contain all variables. This means, all input variables, all output variables, and any intermediate variables that you can somehow observe.

In constructing this table, you might well just LIST all (or many) of the variables first, filling in information about them as you obtain it.
Boundary Table as a Test Plan Component

- Makes the reasoning obvious.
- Makes the relationships between test cases fairly obvious.
- Expected results are pretty obvious.
- Several tests on one page.
- Can delegate it and have tester check off what was done. Provides some limited opportunity for tracking.
- Not much room for status.

Question, now that we have the table, do we have to do all the tests? What about doing them all each time (each cycle of testing)?
Partitioning

In theory, the key to partitioning is dividing the space into mutually exclusive subsets. Each subset is an equivalence class. This is very nice in theory, but let’s look at printers.

LaserJet II compatible printers

- Big class
- HP II original was weak in graphic-complexity related error handling but strong in paper handling. Depending on the kind of risk we’re testing against, we might or might not choose this printer as the exemplar of the class.
Device compatibility testing illustrates a multidimensional space with imperfect divisions between classes and with several different failure risks. The key to success is to remember that partitioning is merely a sampling strategy. The goal is to work from a rational basis in order to select a few valuable representatives from a much larger population of potential tests.

If you can think of different ways that the program can fail in its interaction with a device (such as a printer), then FOR EACH TYPE OF ERROR, you look for the specific device (model, version of printer) that is most likely to confound the program.

From an equivalence class of “LaserJet II compatibles” you get several different, uniquely powerful, class representatives.

A strong sampling strategy rests on our knowledge of the world, not just of the spec.
### Examples from a Class Exercise: Equivalence Class and Boundary Brainstorm

There are many types of variables, including input variables, output variables, internal variables, hardware and system software configurations, and equipment states. Any of these can be subject to equivalence class analysis. Here are some common results from the class brainstorms:

- ranges of numbers
- character codes
- how many times something is done
  - (e.g. shareware limits on the number of uses of the software)
  - (e.g. how many times you can do it before you run out of memory)
- how many records in a database, how many names in a mailing list, how many variables in a spreadsheet, how many bookmarks, how many abbreviations
- size of the sum of variables, or the size of some other computed value (think binary and think digits)
- size of a number that you enter (number of digits) or size of a character string
- size of a concatenated string
- size of a path specification
- size of a file name
- size (in characters) of a document
- size of a file (note special values such as exactly 64K, exactly 512 bytes, etc.)
- size of a document on a page, in terms of the memory requirements for the page. This might just be in terms of resolution x page size, but it may be more complex if we have compression algorithms

<table>
<thead>
<tr>
<th>Size of the Document on the Page (compared to page margins) (across different page margins, page sizes)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equivalent output events (such as printing documents)</td>
</tr>
<tr>
<td>Amount of available memory (&gt; 128 meg, &gt; 640K, etc.)</td>
</tr>
<tr>
<td>Visual resolution, size of screen, number of colors</td>
</tr>
<tr>
<td>Operating system version</td>
</tr>
<tr>
<td>Variations within a group of “compatible” printers, sound cards, modems, etc.</td>
</tr>
<tr>
<td>Equivalent event times (when something happens)</td>
</tr>
<tr>
<td>Timing: how long between event A and event B (and in which order—races)</td>
</tr>
<tr>
<td>Length of time after a timeout (from JUST before to way after) -- what events are important?</td>
</tr>
<tr>
<td>Speed of data entry (time between keystrokes, menus, etc.)</td>
</tr>
<tr>
<td>Speed of input -- handling of concurrent events</td>
</tr>
<tr>
<td>Number of devices connected / active</td>
</tr>
<tr>
<td>System resources consumed / available (also, handles, stack space, etc.)</td>
</tr>
<tr>
<td>Date (year 2000-related boundaries) and time (23:59; end of week, end of month)</td>
</tr>
<tr>
<td>Transitions between algorithms (optimizations) (different ways to compute a function)</td>
</tr>
<tr>
<td>Most recent event, first event</td>
</tr>
<tr>
<td>Input or output intensity (voltage)</td>
</tr>
<tr>
<td>Speed / extent of voltage transition (e.g. from very soft to very loud sound)</td>
</tr>
</tbody>
</table>

Refer to Testing Computer Software, pages 7-11, 126-133, 399-401
Using Test Matrices to Simplify Partitioning

After testing a simple numeric input field a few times, you’ve learned the drill. The boundary chart is reasonably easy to fill out for this, but it wastes your time.

Use a test matrix to show/track a series of test cases that are essentially the same.

• For example, for most input fields, you’ll do a series of the same tests, checking how the field handles boundaries, unexpected characters, function keys, etc.

• As another example, for most files, you’ll run essentially the same tests on file handling.

The matrix is a concise way of showing the repeating tests.

• Put the objects that you’re testing on the rows.
• Show the tests on the columns.
• Check off the tests that you actually completed in the cells.
<table>
<thead>
<tr>
<th>Test Matrix for a Numeric Input Field</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Additional Instructions:</strong></td>
</tr>
<tr>
<td>Nothing</td>
</tr>
<tr>
<td>Valid value</td>
</tr>
<tr>
<td>At LB of value</td>
</tr>
<tr>
<td>At UB of value</td>
</tr>
<tr>
<td>At LB of value - 1</td>
</tr>
<tr>
<td>At UB of value + 1</td>
</tr>
<tr>
<td>Outside of LB of value</td>
</tr>
<tr>
<td>Outside of UB of value</td>
</tr>
<tr>
<td>0</td>
</tr>
<tr>
<td>Negative</td>
</tr>
<tr>
<td>At LB number of digits or chars</td>
</tr>
<tr>
<td>At UB number of digits or chars</td>
</tr>
<tr>
<td>Empty field (clear the default value)</td>
</tr>
<tr>
<td>Outside of UB number of digits or chars</td>
</tr>
<tr>
<td>Non-digits</td>
</tr>
<tr>
<td>Wrong data type (e.g. decimal into integer)</td>
</tr>
<tr>
<td>Expressions</td>
</tr>
<tr>
<td>Space</td>
</tr>
<tr>
<td>Non-printing char (e.g., Ctrl+char)</td>
</tr>
<tr>
<td>DOS filename reserved chars (e.g., &quot;,,,&quot;)</td>
</tr>
<tr>
<td>Upper ASCII (128-254)</td>
</tr>
<tr>
<td>Upper case chars</td>
</tr>
<tr>
<td>Lower case chars</td>
</tr>
<tr>
<td>Modifiers (e.g., Ctrl, Alt, Shift-Ctrl, etc.)</td>
</tr>
<tr>
<td>Function key (F2, F3, F4, etc.)</td>
</tr>
</tbody>
</table>
Matrices

- You can often re-use a matrix like this across products and projects.
- You can create matrices like this for a wide range of problems. Whenever you can specify multiple tests to be done on one class of object, and you expect to test several such objects, you can put the multiple tests on the matrix.
- Mark a cell blue if you ran the test and the program passed it. Mark the cell read if the program failed.
- Write the bug number of the bug report for this bug.
- Write (in the cell) the automation number or identifier if the test case has been automated.
Problems?

- What if your thinking gets out of date? (What if this program poses new issues, not covered by the standard tests?)
- Do you need to execute every test every time? (or ever?)
- What if the automation ID number changes? -- We still have a maintenance problem but it is not as obscure.
- This still supports exploration.
Automated Reusable Test Matrices

Walk back through the Numeric Input matrix, but from the point of view of automating it. Depending on your automation tool, the following script should be reasonably easy:

- Identify the variable
- Input the range
- Input the main out-of-range error message
- Have the script walk the program against all of the tests for this variable, checking for valid results or out-of-range errors.

In theory, you should be able to set this up as a real-time input tool. (Look at a variable, specify it, test it.)
Objectives list

Test Objectives:

- Inputs
  - Field-level
    - (list each variable)
  - Group-level
    - (list each interesting combination of variables)

- Outputs
  - Field-level
    - (list each variable)
  - Group-level
    - (list each interesting combination of variables)

- (Based on examples in Gelperin’s Systematic Software Testing course.)
Objectives list

Requirements-based Objectives

- Capability-based (resulting from functional design)
  - Functions or methods including major calculations (and their trigger conditions)
  - Constraints or limits (non-functional requirements)
  - Interfaces to other products
  - Input (validation) and Output conditions at up to 4 levels of aggregation
    - field / icon / action / response message
    - record / message / row / window / print line
    - file / table / screen / report
    - database
  - Product states and transition paths
  - Behavior rules
    - truth value combinations

- (Based on examples in Gelperin’s Systematic Software Testing course.)
Objectives list

Design-based Objectives
(resulting from architectural design)

• Processor and invocation paths
• Messages and communication paths
• Internal data conditions
• Design states
• Limits and exceptions

Code-based Objectives

• Control-based
  » Branch-free blocks (i.e. statements)
  » (Top) branches
  » Loop bodies
    • 0,1, and even
  » Single conditions
    • LT, EQ, and GT
• Data-based
  » Set-use pairs
  » Revealing values for calculations
    • (Based on examples in Gelperin’s Systematic Software Testing course.)
The idea here is that there are a few variables that you will test together, in order to look at a joint effect. “Testing Issues” might include some underlying variable that you want to test, or some output that you want to manipulate, or some other event that is determined by the combination of variables, not by any one of them alone.

Each row is a test case.

The variables’ entries are typically actual values.

The “testing issues” entries are the values or events you are trying to manipulate or observe.
Complex Data Relationships
## A Tabular Format for Data Relationships

<table>
<thead>
<tr>
<th>Field</th>
<th>Entry Source</th>
<th>Display</th>
<th>Print</th>
<th>Related Variable</th>
<th>Relationship</th>
</tr>
</thead>
<tbody>
<tr>
<td>Start Date</td>
<td></td>
<td></td>
<td></td>
<td>End Date</td>
<td>Constraint to a range</td>
</tr>
<tr>
<td>End Date</td>
<td></td>
<td></td>
<td></td>
<td>Start Date</td>
<td>Constraint to a range</td>
</tr>
</tbody>
</table>

Once you identify two variables that are related, test them together using boundary values of each or pairs of values that will trigger some other boundary.

This is not the most powerful process for looking at relationships. An approach like Cause-Effect Graphing is more powerful, if you have a perfect specification.

I started using this chart as an exploratory tool for simplifying my look at relationships in overwhelmingly complex programs. (There doesn’t have to be a lot of complexity to be “overwhelming.”)
THE TABLE’S FIELDS

Field: Create a row for each field (Consultant, End Date, and Start Date are examples of fields.)

Entry Source: What dialog boxes can you use to enter data into this field? Can you import data into this field? Can data be calculated into this field? List every way to fill the field -- every screen, etc.

Display: List every dialog box, error message window, etc., that can display the value of this field. When you re-enter a value into this field, will the new entry show up in each screen that displays the field? (Not always -- sometimes the program makes local copies of variables and fails to update them.)

Print: List all the reports that print the value of this field (and any other functions that print the value).

Related to: List every variable that is related to this variable. (What if you enter a legal value into this variable, then change the value of a constraining variable to something that is incompatible with this variable’s value?)

Relationship: Identify the relationship to the related variable.
A Tabular Format for Data Relationships

Many relationships among data:

- Independence
  - Varying one has no effect on the value or permissible values of the other.

- Causal determination
  - By changing the value of one, we determine the value of the other.
  - For example, in MS Word, the extent of shading of an area depends on the object selected. The shading differs depending on Table vs. Paragraph.

- Constrained to a range
  - For example, the width of a line has to be less than the width of the page.
  - In a date field, the permissible dates are determined by the month (and the year, if February).

- Selection of rules
  - For example, hyphenation rules depend on the language you choose.
A Tabular Format for Data Relationships

• Logical selection from a list
  » processes the value you entered and then figures out what value to use for the next variable. Example: timeouts in phone dialing:
    • 0 on complete call 555-1212 but 95551212?
    • 10 on ambiguous completion, 955-5121
    • 30 seconds incomplete 555-121

• Logical selection of a list:
  » For example, in printer setup, choose:
    • OfficeJet, get Graphics Quality, Paper Type, and Color Options
    • LaserJet 4, get Economode, Resolution, and Half-toning.

• Look at Marick for discussion of catalogs of tests for data relationships.
Looking at the Word options, you see the real value of the data relationships table. Many of these options have a lot of repercussions.

You might analyze all of the details of all of the relationships later, but for now, it is challenging just to find out what all the relationships ARE. The table guides exploration and will surface a lot of bugs.

PROBLEM
Works great for this release. Next release, what is your support for more exploration?
I focus on the satisfaction and safety of customers and workers. This cuts across several academic and technical disciplines. To develop competence in the field, I’ve worked in several related areas:

**Law** (J.D., 1993). Currently in a small solo practice that provides direct legal and retained expert services. Public service includes prosecution (3 months full-time volunteer, Santa Clara County, Deputy DA); grievance officer and contract advisor for the National Writers Union; consumer complaint investigator / mediator (Santa Clara County Dept. of Consumer Affairs); and Board of Directors, Northern California Hemophilia Foundation. I am deeply involved in the drafting of Article 2B of the Uniform Commercial Code (a new law that will govern all contracts for software) and laws governing digital signatures.


**Mathematics & Philosophy** (B.A., Arts & Sciences, 1974).


**Organization Development** (courses from Community at Work, plus experience as an Associate, then Senior Associate at Psylomar -- Organization Development)

**Computing.** I first studied FORTRAN in 1967 (many other languages later). In 1970-73, I learned valuable lessons the hard way about human factors, reliability, and real world requirements via a failing service-bureau-based computerization of my family’s retail businesses. I began doing my own work with computers in 1976, while a Psychology graduate student. We used them as real time lab control systems, simulators, and data analyzers. Interested in the human side of the machines, my colleagues and I explored ways to improve software usability and overall system reliability. In 1983, I moved to Silicon Valley. Since then I’ve worked in the Valley as a human factors analyst (user interface designer), programmer, test manager, technical publications manager, software development manager, middle manager (director), and (my current role) independent consultant.